



**FEES TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)****537.00**

 <b>U.S. PATENT AND TRADEMARK OFFICE</b> <b>FEES</b> <b>TRANSMITTAL</b> <b>for FY 2003</b>		<b>Complete if Known</b>	
<i>Effective 01/01/2003. Patent fees are subject to annual revision.</i>		<b>Application Number</b>	09/905,156
<input checked="" type="checkbox"/> <b>Applicant Claims small entity status. See 37 CFR 1.27</b>		<b>Filing Date</b>	July 13, 2001
<input type="checkbox"/>		<b>First Named Inventor</b>	WHITEHOUSE <i>et al.</i>
<input type="checkbox"/>		<b>Examiner Name</b>	Patricia Short
<input type="checkbox"/>		<b>Art Unit</b>	1712
<input type="checkbox"/>		<b>Attorney Docket No.</b>	3130-002-02
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b> <b>537.00</b>		

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																																																																																									
<input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None					<b>FEE CALCULATION</b> (continued)																																																																																																																																																									
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number 50-0925 Deposit Account Name Kilyk & Bowersox, P.L.L.C.					<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge – late filing fee or oath</td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge – late provisional filing fee or cover sheet</td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>1251</td> 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for reply within first month	1252	410	2252	205	Extension for reply within second month	1253	930	2253	465	Extension for reply within third month	1254	1,450	2254	725	Extension for reply within fourth month	1255	1,970	2255	985	Extension for reply within fifth month	1401	320	2401	160	Notice of Appeal	1402	320	2402	160	Filing a brief in support of an appeal	1403	280	2403	140	Request for oral hearing	1451	1,510	1451	1,510	Petition to institute a public use proceeding	1452	110	2452	55	Petition to revive – unavoidable	1453	1,300	2453	650	Petition to revive – unintentional	1501	1,300	2501	650	Utility issue fee (or reissue)	1502	470	2502	235	Design issue fee	1503	630	2503	315	Plant issue fee	1460	130	1460	130	Petitions to the Commissioner	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	1806	180	1806	180	Submission of Information Disclosure Stmt	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	1809	750	2809	375	Filing a submission after final 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The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.																																																																																																																																																														
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**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

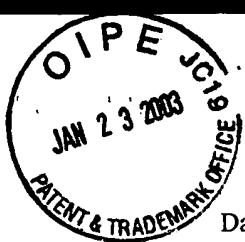
**be included on this form. Provide credit card information and authorization on PTO-2088.**  
This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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**Date: January 23, 2003 Label No. EV122923185US** I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC, 20231 by "Express Mail Post Office to Addressee" service.

Patents, Washington, DC  
Ashley Smith  
Name (Print)

Signature



Date: January 23, 2003 Label No. EV122923185US

I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Ashley Smith  
Name (Print)

Ashley Smith  
Signature

AS/A  
1/30/03  
JC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: WHITEHOUSE *et al.* )  
Application No.: 09/905,156 ) Group Art Unit: 1712  
Filed: July 13, 2001 ) Examiner: Patricia Short

For: POLYURETHANES OBTAINED FROM HYDROXYALKANOATES AND ISOCYANATES

**AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

January 23, 2003

RECEIVED  
JAN 28 2003  
TECHNOLOGY CENTER 1700

Sir:

This Amendment is in response to the Office Action dated July 23, 2002 for which the Examiner has set a three-month period for response. A petition for Three-Month Extension of Time and requisite fee are submitted herewith, thus making the response due on or before January 23, 2003.

Please amend the above-identified application as follows:

**IN THE CLAIMS**

Please cancel claims 1-3 without prejudice or disclaimer of the subject matter therein.

Please substitute the following amended claims for the pending claims with the same numbers in the above-identified application. (A version of the amended claims with  
01/27/2003 NHOHAAH1 00000030 09905156